



JPW
PATENT

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

James Peterson et al.

Application No.: 09/654,627

Filed: September 5, 2000

For: SYSTEM AND METHOD FOR TAX
SENSITIVE PORTFOLIO
OPTIMIZATION

Confirmation No.: 7438

Group Art Unit: 3624

Examiner: Ella Colbert

**SUBMISSION OF REPLACEMENT
FORMAL DRAWINGS**

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M/S ISSUE FEE

Commissioner for Patents

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FARELLA BRAUN + MARTEL LLP

Date: 12/2/05

By: *[Signature]*

Dianne Marangio

Sir:

In response to the Notice of Allowance and Issue Fee(s) Due mailed October 11, 2005,
enclosed are thirteen (13) sheets of replacement formal drawings for filing in the above-
referenced application.

Respectfully submitted,

FARELLA BRAUN + MARTEL LLP

Date: Dec. 2, 2005

By: *[Signature]*

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